Director's Sub-Delegation Scheme

Director of Children and Families

I mie longworth.

Signed¹

Dated 1/10/24

| Review Date ² | Initial of reviewing officer |
|--------------------------|------------------------------|
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| | |
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¹ Approving a sub-delegation scheme should be treated as a Significant Operational Decision, and the Delegated Decision Notice, together with supporting report, and the scheme should be published on the Council's website.

² This scheme is first made at the beginning of the Municipal Year following delegations being made by the Leader and at the Annual Council Meeting. After this the subdelegation scheme should be kept under review to ensure that it is up to date and fit for purpose – use this table to record the dates when the scheme is reviewed if no changes are necessary.

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Introduction

The sub-delegation scheme contains references to parts of the Constitution throughout. Please follow this link to view the current version of the Constitution.

The³ Director of Children and Families is authorised in accordance with the Officer Delegation Scheme to carry out functions on behalf of Full Council and the Executive. Each Director has the benefit of a number of delegations – these are set out separately in two schemes; one for Council functions (delegated by Full Council) and one for Executive Functions (delegated by the Leader of Council). Each scheme is further separated into the general functions which are shared so that all Directors can carry out those functions in relation to areas within their remit, and specific functions which may only be carried out by or on behalf of the named Director. Details of those delegations can be found in Part 3 of the Council's Constitution. Follow these links to find delegations in relation to Council Functions and Executive Functions.

The Director has chosen to sub-delegate some or all of those functions to officers of suitable experience and seniority in his/her own directorate or in another directorate. These officers are identified by reference to their job title rather than by name. If the Director delegates functions to a fellow Director he/she makes it clear in this sub-delegation scheme whether that Director can sub-delegate those functions. This scheme details the officers who can carry out each function on the Director's behalf, together with the details of any terms and conditions which the Director has imposed on that sub-delegation (examples can be found in footnote⁴ below). All officers are bound by the Employee Code of Conduct and should consider these together with any other rules or requirements in relation to personal conflicts of interest which may apply to them when exercising authority delegated under this scheme.

- Impose a financial limit (e.g. decisions up to and including £100,000per annum in value);
- Impose a limit in relation to the category of the decision (e.g. Administrative decisions only);
- Require that certain decisions are referred to the Director or another senior officer (e.g. Key decisions to be referred to the Chief Officer (Environmental Action));
- Require an officer to consult specified people before making a particular type of decision (e.g. in consultation with the City Solicitor or in consultation with relevant ward Members);
- Limit decisions to those within an officer's role (e.g. in relation to matters within their remit);
- Limit decisions to those relating to a specific function or project (e.g. decisions relating to the Basic Need Programme);

³ Insert title of Director here.

⁴ The Director may limit the delegation by imposing a term or condition, for example he/she may:-

Even though the Director has sub-delegated the functions he/she remains accountable for all decisions taken in accordance with this scheme. An officer with sub-delegated authority may decide not to exercise that authority, or the Director may indicate that the authority should not be exercised, in respect of any individual matter. In either case that matter should be referred to the Director for a decision or for referral to the relevant Committee if appropriate.

In some circumstances not all Directors have functions delegated to him/her in the delegation scheme. In these cases the relevant part of this sub-delegation scheme is marked as 'not applicable'.

Where the Director has chosen not to sub-delegate his/her authority, this is clearly stated within the scheme. Decisions in relation to those functions should be taken by the Director unless the absence provisions at the end of this scheme apply.

Glossary

| Council Functions | Functions which must be carried out by or on behalf of full Council. Functions which are reserved to Full Council can be found here. Other Council functions are delegated to Committees of Elected Members or to individual officers. |
|------------------------|--|
| Elected Members | Councillors elected by the citizens of Leeds. |
| Executive Board | The group of 9 Elected Members including and selected by the Leader, responsible for carrying out Executive functions. |
| Executive Functions | Functions which must be carried out by or on behalf of the Executive. |
| Full Council | The meeting of all 99 Elected Members of Leeds City Council |
| Functions | Things which Leeds City Council must or may do. All functions are set out in legislation which will state whether the function is permissive or mandatory. |
| Leader | The Leader of Council, elected by all 99 Members of Council. (Usually the chosen leader of the largest political group represented at full Council.) |
| Local Choice Functions | The Council must decide whether these functions should be treated as Council functions or Executive functions. Details of the responsibility for these functions can be found |

| Officers | Staff employed by the Council. |
|---------------------------|---|
| Relevant Executive Member | The Leader gives Portfolios of responsibility to individual members of Executive Board. Details of specific responsibilities are set out in the Executive Members Portfolios and Overview of Executive Member's Roles and Responsibilities. |

Group Delegations - Definitions and Priorities

The Director has chosen to delegate a number of functions to groups of officers. Where the same group of officers receive a number of separate delegations, in order to save space within the sub-delegation scheme, those groups of officers have been given a title. The following table sets out the title of each group of officers and lists the officers within each group. It also provides details of how it should be determined which of the officers within the group should take any given decision.

| Group Title | Officers included in group authorisation | Order of responsibility |
|------------------|--|-------------------------|
| Deputy Directors | Deputy Director Social CareDeputy Director Learning | |
| Chief Officers | Chief Officer Children's Social Work & Family Help Chief Officer Learning Inclusion Chief Officer Learning Improvement Chief Officer Resources, Transformation & Partnership Chief Officer Corporate Parenting National Adoption Strategic Lead | |
| Heads of Service | Head of Service Quality & Practice Improvement Head of Service Workforce Development & Change Head of Early Help Head of Service Children Looked After Head of Service Corporate Parenting Head of Service Social Work (3 posts – ENE, WNW and South) | |

| | Head of Service Commissioning, Market Management & Customer Services Head of Service Learning Systems Head of Service Performance Management & Improvement Head of Service OAWY Head Of Service Vulnerable Learners Principle Educational Psychologic/Head of Service SEND Inclusion | |
|---------------|--|--|
| Lead Officers | Children's Centres and Early Start Lead Youth Justice Service Delivery Manager Health and Wellbeing Lead Troubled Families Lead Leadership and Management Lead Children Missing out on Education (MOOE) and Exclusion Monitoring Lead Head Teacher Virtual School LA Children Head of Virtual School Children in Need Area Lead Primary Learning Improvement Statutory Assessment and Provision Lead SEN Support and Inclusion Team Leader Customer Relations Lead Children's Strategy & Influence Lead Lead for Admissions and Family Information Assets & Access Lead Sufficiency & Participation Lead Education & Early Years Safeguarding Lead STARS Lead | |
| | City Lead Teacher of the Deaf | |

| City Lead Teacher of Visually Impaired | |
|--|--|
| | |

Delegation of Functions Under Articles

The functions set out in this part of the sub-delegation scheme are delegated to the Director by Full Council through the relevant Article of the Constitution.

General Delegations

The officer to whom these functions are sub-delegated may exercise these functions, which have been delegated to all Directors, for matters within his/her Director's remit only.

| Article | Function Delegated | Officer to whom delegated | Terms and Conditions |
|---------|--|---|--|
| 14.5 | To sign as agent for the Council all contracts of a value below £100,000 agreed to be entered into by the Council or any part of it. | Deputy DirectorsChief Officers | In relation to matters within their remit Contracts of a value below £100,000 ⁵ |

⁵ Contracts of a value of £100,000 or more are signed in accordance with Article 14 and the sub-delegation scheme of the City Solicitor.

Specific Delegations⁶

The officer to whom these functions are sub-delegated may exercise these functions, which have been delegated to the Director alone, with general effect.

| Article | Function Delegated | Officer to whom delegated | Terms and Conditions |
|---------|--|--|--|
| 14.5 | Authentication of Documents for legal proceedings To sign documents which are a necessary step in legal proceedings. | Deputy Director Learning Chief Officer Learning Improvement Chief Officer Learning Inclusion | To take any action which the City Solicitor can take BUT only with regard to the issuing of proceedings under the Education Act 1996 and the Education and Inspectorates Act 2006. |
| | (Sub-delegated to the Director of Children & Families by the City Solicitor (with power for the DCS to sub-delegate) | | |

- Article 15 to the City Solicitor as Monitoring Officer;
- Article 16 to the City Solicitor as Monitoring Officer

⁶ Specific Delegations are made under:-

[•] Article 12 to the Chief Executive as Head of Paid Service and Director of Strategy & Resources as their deputy; the City Solicitor as Monitoring Officer and the Head of Governance and Scrutiny Support as her deputy; and the Chief Officer (Financial Services) as Chief Finance Officer and Head of Financial Management as his deputy;

[•] Article 14 to the Chief Executive and the City Solicitor;

Council Functions –

Introduction

The functions set out in this part of the sub-delegation scheme are Council Functions as defined by the Local Authorities (Functions and Responsibilities) (England) Regulations 2000 (as amended)⁷ which have been delegated to the Director by Full Council, or by a Council Committee.

Decisions in relation to these functions should be categorised in accordance with <u>Article 13</u> and taken in accordance with <u>the Access to Information Procedure Rules</u>⁸.

Where a Significant Operational Decision is taken in relation to a Council Function it should be supported by a report to the decision maker on the corporate report template and recorded on a Delegated Decision Notice, both of which can be found in the <u>Decision Making Toolkit</u>. The decision may be implemented immediately. The report supporting the decision should be published together with the Delegated Decision Notice on the Council's website as soon as practicable after the decision has been taken.

Where an Administrative Decision is taken in relation to a Council Function you should keep a written record for audit purposes. You can use a Delegated Decision Notice to make this written record if it is helpful to do so. The decision may be implemented immediately.

⁷ And those Local Choice Functions which are the responsibility of Full Council and have been delegated to the Director

⁸ These rules incorporate the requirements of the Openness of Local Government Bodies Regulations 2014 in relation to the publication of written records of relevant decisions.

Council Functions –

General Delegations

| | Function Delegated | Officer to whom delegated | Terms and Conditions |
|--------|--|---|--|
| Gener | al | | |
| (a) | To make payments or provide other benefits in cases of maladministration. | Deputy DirectorsChief Officers | Up to £40,000 in their area of responsibility and in accordance with guidance notes jointly prepared by the City Solicitor and the Director of Strategy & Resources. |
| (b) | Functions relating to health and safety under any relevant statutory provision within the meaning of Part 1 of the Health and Safety at Work Act 1974, to the extent that these functions are discharged otherwise than in the Council's capacity as employer. | Deputy Directors Chief Officers Heads of Service | In relation to those areas within their remit. |
| Perso | nnel | | |
| (c)(i) | To appoint staff within the approved establishment in accordance with the Council's Recruitment and Selection Procedure. | Deputy DirectorsChief OfficersHeads of ServicesLeads | Prior to the submission of a Requisition Form, in relation to maters within their remit, officers should consult with Finance (Children & Families) and Human Resources (Children & Families). Subject to there being budgetary provision such staff should be employed in terms set out in the guidance issued by the Director of Strategy & Resources. |

| | Function Delegated | Officer to whom delegated | Terms and Conditions |
|----------|--|---|--|
| (c)(ii) | To appoint staff on a temporary basis to provide cover for absences or cater for peaks in workload subject to there being budgetary provision. | Deputy Directors Chief Officers Heads of Service Leads | Prior to the submission of a Requisition Form, in relation to maters within their remit, officers should consult with Finance (Children & Families) and Human Resources (Children & Families). Subject to there being budgetary provision such staff should be employed in terms set out in the guidance issued by the Director of Strategy & Resources. |
| (c)(iii) | To determine issues relating to officers' terms and conditions of employment and to take such action and enter into such agreement as may be required to give effect to such determinations. | Deputy Directors Chief Officers Heads of Service | In relation to maters within their remit and in consultation with Human Resources (Children & Families). |
| Byela | ws | | |
| (d) | The enforcement of byelaws. | Deputy DirectorsChief Officers | In relation to maters within their remit. |

Local Choice Functions -

The Director of Children & Families ⁹ is authorised to discharge the following Local Choice Functions which have been assigned to full Council (see Part 3 Section 1 of the Constitution):

| | Function Delegated | Officer to whom delegated | Terms and Conditions |
|-----|--|---|----------------------|
| (a) | To make arrangements for appeals against exclusion of pupils from maintained Schools | Deputy Director Learning Chief Officer Learning Inclusion Head of Service Vulnerable Learners Children Missing out on Education and Exclusion Monitoring lead | |
| (b) | To make arrangements for appeals regarding school admissions ¹⁰ | Deputy Director Learning Head of Service Learning Systems Lead for Admissions and Family Information | |

⁹ The fact that a function has been delegated to the Director does not require the Director to give the matter his/her personal attention and the Director may arrange for such delegation to be exercised by an officer of suitable experience and seniority. However the Director remains responsible for any decision taken pursuant to such arrangements.

 $^{^{10}}$ s94 (1), (1A) and (4) School Standards and Framework Act 1998

| (c) | To make arrangements for appeals by governing | • | Deputy Director Learning | |
|-----|---|---|--------------------------|--|
| | bodies ¹¹ | • | Chief Officer Learning | |
| | | | Improvement | |
| | | • | Governor Support Service | |
| | | | Manager | |
| | | | | |

Council Functions –

Specific Delegations

The sub-delegation scheme for Council functions set out below includes a number of powers authorising the named officer to make decisions in relation to approvals, licenses, permissions and registrations. In accordance with his/her general delegations, and unless otherwise stated, the Director includes in relation to those authorisations the power to:-

- a) Impose conditions, limitation or restrictions;
- b) Determine any terms to which they are subject;
- c) Determine whether and how to enforce any failure to comply;
- d) Amend, modify, vary or revoke; and
- e) Determine whether a charge should be made or the amount of such a charge.

¹¹ s95 (2) School Standards and Framework Act 1998

| | Function Delegated | Officer to whom delegated | Terms and Conditions |
|-----|---|--|----------------------|
| (a) | To license the employment of children (under part 2 of The Children's and Young Person's Act 1933 by-laws made under that part, and part 2 of the Children's and Young Person's Act 1963.). | Deputy Director Social Care Chief Officer Children's Social Work & Family Help Head of Early Help SDM Family Support Team Leader – Child Employment & Entertainment Team Senior Administrator – Child Entertainment & Employment Team | |

Executive Functions -

Introduction

The functions set out in this part of the sub-delegation scheme are Executive Functions as defined by the Local Authorities (Functions and Responsibilities) (England) Regulations 2000 (as amended)¹² which have been delegated to the Director by the Leader of Council. If the Leader or relevant Executive Member¹³ directs that the Director should not exercise his/her delegated authority in respect of any Executive function, then the officer with sub-delegated authority may not exercise that authority, and the matter must be referred to Executive Board.

¹² And those Local Choice Functions which are the responsibility of the Executive Board and have been delegated to the Director

¹³ See glossary.

Decisions in relation to these functions should be categorised in accordance with <u>Article 13</u> and taken in accordance with <u>the Executive and Decision Making Procedure Rules</u>.

Where a Key Decision is proposed in relation to an Executive Function it should be publicised on the Council's web site using the Request to add a Key Decision to the 'List of Forthcoming Key Decisions' form. The decision itself should be supported by a report to the decision maker on the corporate report template and recorded on a Delegated Decision Notice. The necessary templates can be found in the <u>Decision Making Toolkit</u>. In most cases a Key Decision will be open to Call In and should not be implemented until the Call In period has expired.

Where a Significant Operational Decision is taken in relation to an Executive Function it should be supported by a report to the decision maker on the corporate report template and recorded on a Delegated Decision Notice. The report supporting the decision should be published together with the Delegated Decision Notice on the Council's website as soon as practicable after the decision has been taken. A Significant Operational Decision may be implemented immediately.

Where an Administrative Decision is taken in relation to a Council Function you should keep a written record for audit purposes. You can use a Delegated Decision Notice to make this written record if it is helpful to do so. There is no requirement to publish the decision and it may be implemented immediately.

Executive Functions –

General Delegations

| | Function Delegated | Officer to whom delegated | Terms and Conditions |
|--------|--|---|--|
| 1 Fina | ancial ¹⁴ | | |
| а | To incur expenditure and to generate and collect income in line with Financial Regulations, Contracts Procedure Rules and within approved revenue and capital estimates. | | |
| | Revenue General Fund and Dedicated School Grant | Deputy Directors Chief Officers National Adoption Strategic Lead Head of One Adoption West Yorkshire | To incur expenditure and to generate and collect income in line with Financial Regulations, Contracts Procedure Rules and within approved revenue and capital estimates. In relation to matters within their remit. Key Decisions should be referred to the Director of Children and Families for decision. |
| | | Heads of Service | In relation to matters within their remit. Decisions over £50,000 shall be referred to the Deputy Director or Chief Officer. |
| | Revenue: Youth Activity Fund | Director of Communities, Housing and Environment | This function to be used in respect of the delegation to Community Committees to allow urgent decisions relating to the Youth Activity Fund allocated to any such committee, and subject to any conditions stipulated by the Executive or the relevant Community Committee. |

¹⁴ See <u>Financial Regulations Toolkit</u>

| | Function Delegated | Officer to whom delegated | Terms and Conditions |
|-------|---|---|---|
| | Capital | Deputy Director Learning Chief Officer, Resources, Transformation and Partnerships | Decisions over £750,000 shall be referred to the Director of Children and Families for decision. |
| | | Assets & Access Lead | Decisions over £500,000 shall be referred to the Deputy Director, Learning or Chief Officer Resources, Transformation & Partnership. |
| | | Sufficiency & Participation Lead | Decisions over £250,000 each year shall be referred to the Assets & Access Lead. |
| b | In an emergency to incur any immediate and necessary expenditure required. Such expenditure must be reported to the Chief Finance Officer at the first opportunity. | Deputy DirectorsChief Officers | In relation to matters within their remit, decisions over £500,000 should be referred to the Director of Children and Families. |
| 2 Pro | ocurement ¹⁵ | | |
| а | To make decisions in relation to commissioning and procurement activity. Such activity should be carried out in accordance with the Contracts Procedure Rules. | Deputy Directors Chief Officers National Adoption Strategic Lead | In relation to matters within their remit, decisions over £500,000 shall be referred to the Director of Children & Families. Procurement of digital software or equipment should only be undertaken in consultation with Chief Digital and Information Officer. |
| | | Heads of Service | In relation to matters within their remit, decisions over £50,000 shall be referred to the relevant Deputy Director or Chief Officer. Procurement of digital software or equipment should only be undertaken in consultation with Chief Digital and Information Officer. |

¹⁵ See <u>Procurement and Category Management Toolkit</u>

| | Function Delegated | Officer to whom delegated | Terms and Conditions |
|-------|---|---|---|
| b | To approve all matters relating to operational PFI projects, including (without limitation) variations to project documents and refinancing. | Deputy Director Learning Chief Officer Resources, Transformation and Partnerships | |
| С | Subject to the approval of the City Solicitor and the Chief Finance Officer, to sign certificates under the Local Government (Contracts) Act 1997 in relation to contracts. | NOT TO BE SUB-DELEGATED ¹⁶ | |
| 3 Gen | eral | | |
| а | i) In consultation with the Chief Officer (Financial Services) ^{18]} , to make a decision on an expression of interest under community right to challenge. | Deputy DirectorsChief Officers | In relation to matters within their remit |
| b | Data Protection, Human Rights, Surveillance activities, and Freedom of Information ¹⁹ | | |

¹⁶ In the event of the absence of the Director the certificate must be signed by the Chief Finance Officer or City Solicitor or in their absence one of the Statutory Chief Officers or Non-Statutory Chief Officers to whom authority is delegated under the Officer Delegation Scheme (Executive Functions) General Delegations paragraph 5.

¹⁷ Sections 81-86 Localism Act 2011 and Community Right to Challenge (Expressions of Interest and Excluded Services)(England) Regulations 2012

¹⁸ or the officer to whom the Chief Officer (Financial Services) has sub-delegated this function

¹⁹ See Managing Information Toolkit

| Func | tion Delegated | Officer to whom delegated | Terms and Conditions |
|------|--|--|---|
| • | human rights, use of powers under RIPA (Regulation of Investigatory Powers Act) and freedom of information the council's policies and procedures on these matters; and | Deputy Directors Chief Officers Heads of Service | In relation to matters within their remit |
| ii) | To designate officers with specific responsibilities for these matters. | Deputy DirectorsChief OfficersHeads of Service | In relation to matters within their remit |
| iii) | To advise the SIRO of any new types of data processed, of new ways of processing personal data and of any new persons or organisations to whom data is given. | Deputy DirectorsChief OfficersHeads of Service | In relation to matters within their remit |

²⁰ The Head of Information Management and Governance has been designated as the Council's DPO (Data Protection Officer)

| | Function Delegated | Officer to whom delegated | Terms and Conditions |
|---|--|--|--|
| С | i) To issue statements to the press and other news media about their delegated functions within the Council's adopted Budget and Policy Framework. | Deputy Directors Chief Officers Heads of Service | In relation to matters within their remit. In relation to matters within their remit and only following consultation with the Deputy Directors or Chief Officers. The relevant Executive Member must be made aware of all press and other news media statements. |
| d | i) To authorise or appoint officers possessing such qualifications as may be required by law or in accordance with the council's policy, to take samples, carry out inspection, enter premises and generally perform the functions of a duly authorised officer of the council (however described) and to issue any necessary certificates of authority. | Not delegated | |

²¹ See <u>Dealing with the Media Toolkit</u>

²² This delegation gives the officer detailed power to grant authority for other officers to carry out certain statutory powers or duties (e.g. entering onto land or appearing in court). This part of the sub-delegation scheme does not detail those 'authorised officers' – Authorised officers are given separate evidence of their authority (e.g. an ID card or certificate), signed by the person named here who has the power to authorise them. Their details are kept in a separate list together with details of who authorised them, the date they were authorised and the functions for which they are authorised.

| | Function Delegated | Officer to whom delegated | Terms and Conditions |
|---|---|--|---|
| е | i) To take any action remitted to the Director under corporate procedure | Deputy DirectorsChief OfficersHeads of Service | In relation to matters within their remit. |
| | ii) As the relevant officer designated as gold or silver under the Council's Emergency Management Plan to tak any action necessary for: Responding to an emergency; and/or Facilitating the recovery following such an emergency. | • Chief Officers | |
| f | Local Choice Functions (see Section 1, Part 3 of the Constitution) | | |
| | i) Functions under a local act, unless otherwise specified in Regulation 2 of Schedule 1 of the Local Authorities (Functions and Responsibilities) Regulations 2000; | Deputy DirectorsChief Officers | In relation to matters within their remit. |
| | ii) To obtain particulars of persons interested in land. | Deputy Director Learning | To the extent that this is in pursuance of the Director of Children & Families delegated authority. |

²³ This function refers to any powers delegated to the Director under the Procedure Rules set out at Part 4 of the Council's Constitution which are not specifically included elsewhere (for example functions under the Financial Regulations and the Contracts Procedure Rules which are delegated at Paragraphs 1 to 4 above

| | Function Delegated | Officer to whom delegated | Terms and Conditions |
|-----|---|--|---|
| g | i) To canvas the views of local stakeholders, formulate and publish initial proposals within the budget and policy framework. | Deputy DirectorsChief Officers | In relation to maters within their remit and in consultation with the Chief Officer Resources, Transformation & Partnerships, Head of Finance (Children and Families) and Head of Human Resources (Children and Families) if appropriate. |
| a a | i) To deal with employment issues in accordance with agreed procedures | Deputy DirectorsChief OfficersHeads of Service | In relation to maters within their remit and in consultation with Human Resources (Children and Families) if appropriate. |
| | and the relevant national conditions of service as modified or extended by any local or national agreements. | | |

²⁴ See Recruitment and Staffing Toolkit

| | Function Delegated | Officer to whom delegated | Terms and Conditions |
|---|--|--|--|
| b | Changes to staff structure i) Decisions can be taken in relation to restructures ²⁵ except where the decision: • Involves changes to existing National or Local Agreements and policies; and/or • Cannot be achieved within delegated powers in respect of budgets ii) Decisions in respect of restructures which involve changes to existing agreements or policies and/or which | Chief Officer Resources, Transformation & Partnerships | Administrative decisions relating to minor staffing changes. Decisions relating to regrades and redesignation affecting up to 4 posts. Decisions must be taken in consultation with Human Resources (Children and Families) and Finance to ensure the budget is available. All other decisions to be referred to the Director of Children and Families for decision. |
| | have budgetary implications as set out in 4(b)(i) above are delegated to the Deputy Chief Executive and are subject to consultation with the City Solicitor and other appropriate parties. | | |
| С | Workforce Development | Deputy DirectorsChief OfficersHeads of Service | In relation to matters within their remit |

²⁵ Decisions in relation to restructures are subject to:-

[•] appropriate professional advice being sought;

[•] prior consultation with all appropriate parties affected by the decision, including all officially recognised trade unions; and

[•] appropriate consideration of pay and grading requirements.

| | Function Delegated | Officer to whom delegated | Terms and Conditions |
|------|--|---|---|
| 5 Wa | ys of Working Matching service to need i) To understand relevant information in relation to local population and communities and to identify emerging trends; ii) To identify and review provision and to | Officer to whom delegated Deputy Directors Chief Officers Heads of Service | In relation to matters within their remit |
| | ensure it is appropriately matched to current and anticipated level of need; iii) To engage with locality management teams to maximise value of local experience and engagement; and iv) To work appropriately with Community Committees to ensure local democratic engagement in needs analysis and service provision | | |

| | Function Delegated | Officer to whom delegated | Terms and Conditions |
|---|---|--|---|
| b | Partnerships i) To engage in partnerships with organisations in public, private, and voluntary sector ii) To promote and influence partnership working with organisations across the city; and iii) To work in partnership beyond the city boundaries to support and participate in regional and sub-regional arrangements. | Deputy Directors Chief Officers Heads of Service | In relation to matters within their remit |
| С | i) To carry out functions exercisable on behalf of an NHS body under Section 75 National Health Service Act 2006 in relation to matters within their remit. | Deputy DirectorsChief Officers | In relation to matters within their remit |
| d | i) To provide such statutory returns as are necessary within the Director's remit. | Deputy Directors Chief Officers Head of Service Performance Management & Improvement | In relation to matters within their remit |

Executive Functions –

Specific Delegations

| | Function Delegated | Officer to whom delegated | Terms and Conditions |
|---|--|--|---|
| 1 | Children's Social Work | | |
| а | Preventative Services including: Taking account of the benefits of prevention and early intervention and the importance of co-operating with other agencies to offer early help to children, young people and families to: | Deputy DirectorsChief OfficersHeads of Service | In relation to matters within their remit |
| | i) Understand local need; and | Deputy DirectorsChief OfficersHeads of Service | In relation to matters within their remit |
| | ii) Provide and commission early help services. | Deputy DirectorsChief OfficersHeads of Service | In relation to matters within their remit |
| b | Safeguarding and Child Protection including: | Deputy DirectorsChief OfficersHeads of Service | In relation to matters within their remit |

| Fund | ction Delegated | Officer to whom delegated | Terms and Conditions |
|------|--|---|---|
| i) | Leading on multiagency arrangements to ensure that resources are coordinated and deployed in safeguarding and vulnerable children; | Deputy DirectorsChief OfficersHeads of Service | In relation to matters within their remit |
| ii) | Provision of safeguarding training to ensure that staff are equipped to recognise and address child abuse; | Deputy DirectorsChief OfficersHeads of Service | In relation to matters within their remit |
| iii) | Acting as corporate parents for children looked after; | Deputy Director Social Care Chief Officer Corporate Parenting Chief Officer Children's Social Work & Family Help Heads of Service Children's Social Work Head of Service Corporate Parenting Head of Service Looked After Children | In relation to matters within their remit |

| Function Delegated | | Officer to whom delegated | Terms and Conditions |
|--------------------|--|--|---|
| iv) | Provision of placements for children looked after; and | Deputy Director Social Care Chief Officer Children's Social Work & Family Help Chief Officer Corporate Parenting | Decisions in relation to individual child placements over £500,000 shall be referred to the Director of Children and Families for decision. |
| v) | | Social Work Heads of Service | Decisions in relation to independent fostering agency placements in consultation with the Chief Officer, Social Care & Family Help or Chief Officer, Corporate Parenting. Decisions over £250,000 shall be referred to the Chief Officer, Social Care & Family Help or Chief Officer, Corporate Parenting. |
| vi) | Implementing planned transition for young people leaving care. | Deputy Director Social Care Chief Officer Children's Social Work & Family Help Chief Officer Corporate Parenting | Decisions in relation to individual child placements over £500,000 shall be referred to the Director of Children and Families for decision. |

| Assessment and Care Management including: Deputy Directors Chief Officer Children's Social Work & Family Help | | Function Delegated | Officer to whom delegated | Terms and Conditions |
|--|---|---|---|----------------------|
| Chief Officer Corporate Parenting Chief Officer Learning Inclusion Chief Officer Resources, Transformation & Partnerships Heads of Service Children's Social Work | C | Assessment and Care Management including: | Chief Officer Children's Social Work & Family Help Chief Officer Corporate Parenting Chief Officer Learning Inclusion Chief Officer Resources, Transformation & Partnerships Heads of Service Children's Social | |

| Function Dele | gated | Officer to whom delegated | Terms and Conditions |
|---------------|--|--|--|
| - | ment of children who may have social d/or complex needs; | Deputy Directors Chief Officer Children's Social Work & Family Help Chief Officer Corporate Parenting Chief Officer Learning Inclusion Chief Officer Resources, Transformation & Partnerships Heads of Service Children's Social Work | In relation to matters within their remit. |

| Function Delegated | Officer to whom delegated | Terms and Conditions |
|--|--|---|
| ii) Co-ordination, management and review of care packages to meet assessed needs. | Deputy Directors Chief Officer Children's Social Work & Family Help Chief Officer Corporate Parenting Chief Officer Learning Inclusion Chief Officer Resources, Transformation & Partnerships Heads of Service Children's Social Work | In relation to matters within their remit. |
| iii) Provision and commissioning of services to meet the needs of children with complex needs. | Deputy Directors Chief Officer Learning Inclusion Chief Officer Resources, Transformation & Partnerships Chief Officer Children's Social Work & Family Help Chief Officer Corporate Parenting | Decisions in relation to individual child placements over £500,000 shall be referred to the Director of Children and Families for decision. |

| | Function Delegated | Officer to whom delegated | Terms and Conditions |
|---|---|--|--|
| d | Residential and Respite Care including: | Deputy Directors Chief Officer Children's Social Work & Family Help Chief Officer Corporate Parenting Chief Officer Learning Inclusion Chief Officer Resources, Transformation & Partnerships Head of Service Looked After Children Head of Service Commissioning, Market Management & Customer Services | In relation to matters within their remit. |

| Function Delegated | Officer to whom delegated | Terms and Conditions |
|---|--|--|
| i) Provision and commissioning of residential placements; and | Deputy Directors Chief Officer Children's Social Work & Family Help Chief Officer Corporate Parenting Chief Officer Learning Inclusion Chief Officer Resources, Transformation & Partnerships | In relation to matters within their remit. Decisions in relation to individual child placements over £500,000 shall be referred to the Director of Children and Families for decision. |
| ii) Provision and commissioning of respite. | Deputy Directors Chief Officer Children's Social Work & Family Help Chief Officer Corporate Parenting Chief Officer Learning Inclusion Chief Officer Resources Transformation & Partnerships | Decisions in relation to individual child placements over £500,000 shall be referred to the Director of Children and Families. In relation to matters within their remit. |

| Officer to whom | Terms and Conditions |
|---|--|
| delegated | |
| Chief Officer Resources, Transformation & Partnerships Heads of Service Children's Social Work Head of Service Commissioning, Market Management | |
| | delegated Deputy Director Social Care Chief Officer Children's Social Work & Family Help Chief Officer Corporate Parenting Chief Officer Resources, Transformation & Partnerships Heads of Service Children's Social Work Head of Service |

| Func | unction Delegated Officer to whom Terms and Conditions delegated | | |
|------|---|---|--|
| i) | Provision or commissioning of training, advice and practical help for carers; and | Deputy Director Social Care Chief Officer Children's Social Work & Family Help Chief Officer Corporate Parenting Chief Officer Resources, Transformation & Partnerships Heads of Service Children's Social Work Head of Service Commissioning, Market Management & Customer Services | In relation to matters within their remit. |

| | ii) Provision or commissioning of advice and practical help for young carers. | Officer to whom delegated Deputy Director Social Care Chief Officer Children's Social Work & Family Help Chief Officer Resources, Transformation & Partnerships Head of Service Commissioning, Market Management & Customer Services Head of Service Early Help | Terms and Conditions In relation to matters within their remit. |
|---|---|--|--|
| f | Youth Offending Services including: | Deputy Director Social Care Chief Officer Corporate Parenting Head of Service Looked After Children Youth Justice Service Manager Service Manager (Adel Beck) | In relation to matters within their remit. |

| Fu | nction Delegated | Officer to whom delegated | Terms and Conditions |
|----|---|---|--|
| i) | Provision of education for children in custody; and | Deputy Director Social Care Chief Officer Corporate Parenting Head of Service Looked After Children Service Manager (Adel Beck) Headteacher Adel Beck | In relation to matters within their remit. |

| custody. Social Care Chief Officer Children's Social Work & Family Help Chief Officer Corporate Parenting Head of Service Looked After Children Service Manager | lation to matters within their remit. |
|---|---------------------------------------|
| (Adel Beck) | |

| | Function Delegated | Officer to whom delegated | Terms and Conditions |
|---|--|---|--|
| a | Early Years Provision including: | Deputy Directors Chief Officer Children's Social Work & Family Help Chief Officer Learning Improvement Head of Service Learning Systems Head of Early Help Children's Centres and Early Start Lead Lead for Admissions and Family Information | In relation to matters within their remit. |
| | i) Provision of information, advice and assistance to parents and prospective parents; | Deputy Directors Chief Officer Children's Social Work & Family Help Head of Service Learning Systems Head of Service Early Help Children's Centres and Early Start Lead Lead for Admissions and Family Information | In relation to matters within their remit. |

| Funct | ion Delegated | Officer to whom delegated | Terms and Conditions |
|-------|--|---|----------------------|
| ii) | Provision of children's centres; | Deputy Directors Chief Officer Children's Social Work & Family He Head of Service Ea Help Children's Centres and Early Start Le | elp arly s |
| iii) | Promotion of child care to ensure sufficient good quality child care to support working parents; | Deputy Directors Chief Officer Children's Social Work & Family He Head of Service Earthelp Children's Centres and Early Start Le | arly s |

| Funct | ion Delegated | Officer to whom delegated | Terms and Conditions |
|-------|---|--|--|
| iv) | Promotion of high quality early years provision; | Deputy Directors Chief Officer Children's Social Work & Family Help Chief Officer Learning Improvement Head of Service Early Help Children's Centres and Early Start Lead | In relation to matters within their remit. |
| v) | Provision of free education for three and four year olds and all disadvantaged two year olds; and | Deputy Directors Chief Officer Learning Improvement Chief Officer Children's Social Work & Family Help Head of Service Learning Systems Children's Centre and Early Start Lead Lead for Admissions and Family Information Lead for Sufficiency & Participation | In relation to matters within their remit. |

| | vi) Support to early years providers meeting requirements of Early Years Foundation Stage statutory framework. | Officer to whom delegated Deputy Directors Chief Officer Learning Improvement Chief Officer Children's Social Work & Family Help Head of Service Early Help | Terms and Conditions In relation to matters within their remit. |
|---|--|--|--|
| b | Access to education including: | Lead for Admissions and Family Information Deputy Director | In relation to matters within their remit. |
| | | Learning Chief Officer Learning Improvement Chief Officer Learning Inclusion Head of Service Learning Systems Assets & Access Lead Vulnerable Learners Lead Sufficiency & Participation Lead | |

| Funct | ion Delegated | Officer to whom delegated | Terms and Conditions |
|-------|--|--|--|
| i) | Promoting a diverse supply of strong schools, including: Encouraging good schools to expand; and Where there is a need for a new school, seeking proposals for an Academy or Free School | Deputy Director Learning Chief Officer Learning Improvement Head of Service Learning Systems Chief Officer Learning Inclusion Assets & Access Lead Sufficiency & Participation Lead | In relation to matters within their remit. |
| ii) | Ensuring fair access to all schools for every child, including: Provision of appropriate information to parents; and Compliance with the statutory School Admissions and School Admissions Appeal Codes; | Deputy Director Learning Head of Service Learning Systems Lead for Admissions and Family Information | In relation to matters within their remit. |
| iii) | Provision of suitable home to school transport arrangements; and | Deputy Director Learning Head of Service, Learning Systems Assets & Access Lead | In relation to matters within their remit. |

| | iv) Making arrangements for children outside mainstream education or missing education. | Officer to whom delegated Deputy Director Learning Chief Officer Learning Improvement Chief Officer Learning Learning Inclusion | Terms and Conditions In relation to matters within their remit. |
|---|---|--|--|
| | Created Educational Needs 9 Disabilities in the direct | Head of Service Vulnerable Learners Children Missing out on Education and Exclusion Lead | |
| С | Special Educational Needs & Disabilities including; | Deputy Directors Chief Officer Learning Inclusion Chief Officer Resources, Transformation & Partnerships Head of Commissioning, Market Management & Customer Services | In relation to matters within their remit. |

| Funct | ion Delegated | Officer to whom delegated | Terms and Conditions |
|-------|--|--|--|
| i) | Providing and commissioning education and health services to meet the needs of children with special educational needs and disabilities; and | Deputy Directors Chief Officer Learning Inclusion Chief Officer Resources, Transformation & Partnerships Head of Commissioning, Market Management & Customer Services | In relation to matters within their remit. |
| ii) | Funding provision for children with Education, Health and Care Plans. | Deputy Directors Chief Officer Resources, Transformation & Partnerships Chief Officer Learning Inclusion | In relation to matters within their remit. |

| | Function Delegated | Officer to whom delegated | Terms and Conditions |
|---|--|---|--|
| d | Promotion of attendance, attainment and achievement including: | Deputy Director Learning Chief Officer Learning Improvement Chief Officer Learning Inclusion Leadership & Management Lead Area Leads Primary Learning Improvement | In relation to matters within their remit. |
| | i) Support to maintained schools delivering national curriculum; | Deputy Director Learning Chief Officer Learning Improvement Chief Officer Learning Inclusion Leadership & Management Lead Area Leads Primary Learning Improvement | In relation to matters within their remit. |

| Funct | ion Delegated | Officer to whom delegated | Terms and Conditions |
|-------|--|--|--|
| ii) | Development of robust school improvement strategies; | Deputy Director Learning Chief Officer Learning Improvement Chief Officer Learning Inclusion Leadership & Management Lead Area Lead Primary Learning Improvement | In relation to matters within their remit. |
| iii) | Support of school to school collaboration; | Deputy Director Learning Chief Officer Learning Improvement Chief Officer Learning Inclusion Leadership & Management Lead Area Lead Primary Learning Improvement | In relation to matters within their remit. |

| Fund | ction Delegated | Officer to whom delegated | Terms and Conditions |
|------|--|---|--|
| iv) | Improvement of poorly performing schools; | Deputy Director Learning Chief Officer Learning Improvement Chief Officer Learning Inclusion Leadership & Management Lead Area Leads Primary Learning Improvement | In relation to matters within their remit. |
| v) | Establishing a schools forum; | Deputy Director Learning | In relation to matters within their remit. |
| vi) | Maintaining a scheme for financing maintained schools and related provision of information and | Deputy DirectorLearning | In relation to matters within their remit. |

| | Function Delegated | Officer to whom delegated | Terms and Conditions |
|---|--|--|--|
| | vii) Improvement of school attendance. | Deputy Directors Chief Officer Learning Improvement Chief Officer Learning Inclusion Vulnerable Learners Lead Leadership & Management Lead Area Leads Primary Learning Improvement Children Missing out on Education and Exclusion Monitoring Lead | In relation to matters within their remit. |
| е | 14-16 Skills Development including; | Deputy Director Learning Chief Officer Learning Improvement Chief Officer Learning Inclusion Head of Service Learning Systems | In relation to matters within their remit. |

| i) Support the development of a diverse | Officer to whom delegated • Deputy Director | Terms and Conditions In relation to matters within their remit. |
|--|--|--|
| learning offer including University Technical Colleges, Studio Schools, Direct College enrolment and Free Schools; | Deputy Director Learning Chief Officer Learning Improvement Chief Officer Learning Inclusion Head of Service Learning Systems | in relation to matters within their remit. |
| Support the development of academic, technical and vocational pathways that contribute to local labour market needs; | Deputy Director Learning Chief Officer Learning Improvement Chief Officer Learning Inclusion Head of Service Learning Systems | In relation to matters within their remit. |
| Promote the opportunities available to young people at 14; and | Deputy Director Learning Chief Officer Learning Improvement Chief Officer Learning Inclusion Head of Service Learning Systems | In relation to matters within their remit. |

| | Function Delegated | Officer to whom delegated | Terms and Conditions |
|---|--|--|--|
| | Promotion of business engagement in schools and colleges through high quality Careers Education, Information, Advice and Guidance. | Deputy Director Learning Chief Officer Learning Improvement Chief Officer Learning Inclusion Head of Service Learning Systems | In relation to matters within their remit. |
| f | Development of active citizens including: | Deputy DirectorsChief OfficersHead of Service Early Help | In relation to matters within their remit. |
| | i) Promotion of access to educational and recreational leisure time activities for the improvement of well-being, personal and social development, raising aspirations, building resilience and enabling children and young people to make informed decisions. | Deputy Directors Chief Officers Head of Service Early Help | In relation to matters within their remit. |

| | Function Delegated | Officer to whom delegated | Terms and Conditions |
|---|---|---|--|
| а | Child poverty, including: | Deputy Director Social Care Chief Officer Early Help Head of Workforce Development & Change | In relation to matters within their remit. |
| | i) Establishing, implementing, monitoring and reviewing arrangements to mitigate the impact of child poverty; and | Deputy Director Social Care Chief Officer Children's Social Work & Family Help Head of Workforce Development & Change | In relation to matters within their remit. |
| | ii) Promoting local co-operation arrangements to reduce the impact of child poverty. | Deputy Director Social Care Chief Officer Children's Social Work & Family Help Head of Workforce Development & Change | In relation to matters within their remit. |

| b | Voice & Influence including; | Officer to whom delegated Deputy Director Social Care Chief Officer Children's Social Work & Family Help Head of Service Children's Workforce and Development Children's Strategy & Influence Lead | Terms and Conditions In relation to matters within their remit. |
|---|---|---|--|
| | i) Promotion of children's participation in public decision making. | Deputy Director Social Care Chief Officer Children's Social Work & Family Help Head of Service Children's Workforce and Development Children's Strategy & Influence Lead | In relation to matters within their remit. |
| С | Influencing climate change and sustainability including; | Deputy DirectorsChief OfficersHeads of ServiceLead Officers | In relation to matters within their remit. |

| Function Delegated | Officer to whom delegated | Terms and Conditions |
|--|--|--|
| i) To support and enable children and young people's involvement in influencing behaviours and action to counter climate change and promote sustainability in their families, schools, communities and city. | Deputy DirectorsChief OfficersHeads of ServiceLead Officers | In relation to matters within their remit. |

| Functions Delegated to the Director of Children and Families as Lead Officer of One Adoption Agency for West Yorkshire The Director of Children and Families is authorised ²⁶ to discharge the following functions on behalf of the West Yorkshire Adoption Joint Committee ('WYAJC') | | |
|---|---|--|
| 1) Adoption services including:- | | |
| a) Recruitment and approval of potential adopters; | Deputy Director Social Care Chief Officer | |
| b) Identification of potential matches between children and adopters ²⁷ ; | Deputy Director Social Care Chief Officer Children's Social Work & Family Help Head of One Adoption West Yorkshire | |

²⁶ Save where the Chair has directed or the Director considers that the matter should be referred to WYAJC for consideration.

²⁷ One Adoption Agency for West Yorkshire shall identify potential matches and make recommendations to the relevant local authority for the matched child. The decision to match a child with an adoptive family remains a function of the Local Authority.

| c) | Provision of adoption panels; and | Deputy Director Social Care Chief Officer Children's Social Work & Family Help Head of One Adoption West Yorkshire | |
|----|---|--|--|
| d) | Provision of adoption support services ²⁸ to adopters, adoptees, birth families and relevant professionals | Deputy Director Social Care Chief Officer Children's Social Work & Family Help Head of One Adoption West Yorkshire | |

²⁸ Including maintenance of and access to adoption records

Miscellaneous Functions

The functions set out in this part of the Sub-delegation scheme are derived from:-

- Legislation which provides that a function should be the specific responsibility of the Director;
- Policies and Procedures which form part of the Constitution of Leeds City Council;
- Sub-delegations made by other Directors of Leeds City Council to whom those functions have been delegated; and
- Delegations which have been made to the Director by Full Council or the Executive for a period less than 6 months which are not therefore reflected in the Constitution

| Place from where function derived | Function Delegated | Officer to whom delegated | Terms and Conditions |
|--|--|--|--|
| Sub-delegated to the Director of Children & Families by the City Solicitor (with power for the DCS to sub-delegate | To take any action intended to give effect to a decision of the executive or an officer including the commencement defence, withdrawal or settlement of proceedings. | Deputy Directors | To take any action which the City Solicitor can take BUT only with regard to the issuing of proceedings under the Education Act 1996 and the Education and Inspectorates Act 2006. |
| Employee Code of Conduct | To ensure that Notification of an Offer forms are available at all appropriate establishments within the relevant Directorate. | Deputy DirectorsChief OfficersHeads of Service | In relation to matters within their remit. |

| Place from where function derived | Function Delegated | Officer to whom delegated | Terms and Conditions |
|--|---|--|--|
| | To receive completed Notification of an Offer forms, and If the offer has been rejected by the employee: Arrange for the central register to be completed; and File the form. If the employee is requesting permission to accept the offer: To decide whether it would be appropriate to accept the offer, and if agreed: i. To sign the form and send a copy to the relevant employee; ii. To enter the details of the Register of Hospitality and Gifts; and iii. File the form. | Deputy Directors Chief Officers Heads of Service | In relation to matters within their remit. |
| Sub-delegated to the Director of Children & Families by the Chief Executive | Local Authority Social Services and National Health Service Complaints (England) Regulations 2009 | Not delegated | For matters within their remit |
| With authority to further sub- delegate to officers of suitable experience and seniority | i) To act as responsible person i) To act as complaints manager | Customer Relations Lead | For matters within their remit |

| Place from where function | Function Delegated | Officer to whom delegated | Terms and Conditions |
|---------------------------------|---|---------------------------------|--------------------------------|
| derived | | | |
| Local Authority Circular(2002)2 | To act as Caldicott Guardian for Adult Social | Deputy Director Social Care | For matters relating to Adult |
| Implementing the Caldicott | Care | | Social Services |
| Standard into Social Care | | Director of Public Health | |
| | To act as Caldicott Guardian for Public | | For matters relating to Public |
| | Health | | Health and to sub-delegate as |
| | | | necessary |
| | | Chief Officer Children's Social | |
| | To act as Caldicott Guardian for Children & | Work & Family Help | For matters relating to |
| | Families | | Children & Families and to |
| | | | sub-delegate as necessary |

Absence Provisions

The table below sets out details of responsibility for those functions which are not sub-delegated by the Director in the usual course of business. These sub-delegations may only be exercised in the absence of the Director on leave²⁹ or where the Director has confirmed in writing that he/she will be absent from the office and these provisions are to apply³⁰.

| Function sub-delegated by Director | Officer with authority to exercise function in absence of Director. | Terms and Conditions |
|--|---|----------------------|
| The authority's role as Children & Families authority, including functions in relation to: • Arrangements to promote co-operation to improve well-being of children; • Arrangements to safeguard and promote welfare of children; • Information databases; • The Local Safeguarding Children Board. 31 | Deputy Director Social Care Chief Officer Children's Social Work & Family Chief Officer Corporate Parenting Deputy Director Learning Chief Officer Learning Inclusion Chief Officer Learning Improvement Chief Officer Resources, Transformation & Partnerships | |
| All other functions | Deputy Directors | |
| | Chief Officers | |

²⁹ Whether annual leave, sick leave or special leave

³⁰ It is recommended that a delegated decision form be used to record and publish this as a Significant Operational Decision.

 $^{^{31}}$ For clarification this does not include functions set out in paragraphs b, c, d, and e below.

| Function sub-delegated by Director | Officer with authority to exercise function in absence of Director. | Terms and Conditions |
|---|---|---|
| Strictly in exceptional circumstances, such as a global pandemic, where officers with the requisite delegations are incapacitated or absent, functions may be exercised by any officer within the council with suitable experience and seniority who has been appropriately briefed and has sufficient understanding of the matter to be decided. | All Officers | With suitable experience and seniority who has been appropriately briefed and has sufficient understanding of the matter to be decided. |